State of Alabama Unified Judicial System Form ARAP- 26 (front) 8/91	COURT OF CRIMINAL APPEALS DOCKETING STATEMENT	CR -00 2143
A. GENERAL INFORMATION:	IRT DIUVENILE COURT OF Russell	COUNTY , Appellant
<i>•</i> •	MUNICIPALITY OF 36 Date of Complaint or Indictment 930.00 Date of Notice of Appeal Days Oral:	Date of Judgment/Sentence/Order 5/3//0/ Written: 7////0/ Ves No
B. REPRESENTATION: Is Attorney Appointed or Retained? Appellant's Attorney (Appellant if p	Dybbourted Disease	appellant represent self? Yes No Telephone Number State Zip Code
C. CODEFENDANTS: List each COD Codefendant Codefendant Codefendant Codefendant	EFENDANT and the codefendant's case number. AMMS Leba Hatta	Case Number OCO1-759 Case Number
2 Post-Conviction Remedy 5 3 Probation Revocation 6	□ Pretrial Order 7 □ Juvenile Transfer Order □ Contempt Adjudication 8 □ Juvenile Delinquency □ Municipal Conviction 9 □ Habeas Corpus Petition	
E. UNDERLYING CONVICTION/ category for which the appellant h Alabama for State convictions. 1	7 Theft - §	on D, piease check the box beside each offense include the applicable section of the Code of 11
G. TRANSCRIPT:	nere the death penalty has been imposed? Yes No	4
2. If the answer to question 1 in3. If the answer to question "1" in(a) Will a stipulation of facts	be filed with the circuit clerk: All res (1) to the trial court that only questions of law are involved and will the trial court is trict or invenile court and the answer to question "1" is "No.	certify the questions? 🕅 Yes 🔲 No

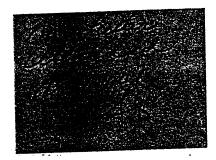
CTATE	TIADAAS
Form ARAP- 26 (back) 8/91 COURT OF CRIMINAL APPEALS DOCKETING STATE	11A1 € 1A 1
Form ARAP- 26 (back) 8/91 COURT OF CRIMINAL AFFEALS DOCKETING STATES	

H. POST-JUDGMENT MOTIONS: List all post-judgment motions by date of filing, type, and date of disposition (whether by trial court order or by the provisions of Rules 20.3 and 24.4 (ARCrP)):

· · · · · · · · · · · · · · · · · · ·				DATE OF DISPOSITION		
DA	TE OF FIL	ING	TYPE OF POST-JUDGMENT MOTION		Day	Year
Month	Day	Year				
7	11	01	A-22 04/		<u> </u>	
		 				
İ				<u> </u>	L	<u> </u>

1. NATURE OF THE CASE: Without argument, briefly summarize the facts of the case.

See attached Letter



J. ISSUE(S) ON APPEAL: Briefly state the anticipated issues that will be presented on appeal. (Attach additional pages if necessary.)

see attached letter

K. SIGNATURE:

September 26, 01

itate of Alabama	DEPORTER'S TRANSCI	RIPT ORDER CRIMINA	L Criminal Appear identifier
Inified Judicial System	Soo Rules 10(c	c) and 11(b) of the	CR-00-2143
8/91	Alabama Rules of Appell	late Procedure (A.R. App.P.)	
O BE COMPLETED BY COUNSEL FO	TER ORDERIO LICE OF AFFECT OF		ID FILED WITH THE WRITTEN NOTICE OF
CIRCUIT COURT DISTRICT	COURT JUVENILE COURT OF	1 USSe//	COUNTY
C.medi. 191	Roy David He	eath.	, Appellant
V. STATE OF ALABAMA	MUNICIPALITY OF		
V. STATE OF ALABAMA	-	e of Judgment/Sentence/Order	
Case Number	/	5/31/01	
57/CC 2001 6006 Date of Notice of Appeal		Indigent Status Granted:	Tiyes Itino
Oral:	Written: 7/11/01		Yes No
ONLY IF THE APPEAL IS FR	OM DISTRICT COURT OR JUVENILE	COURT, I ALSO CERTIFY (1) THAT A HIS RIGHT TO A JURY TRIAL IF SO E	L SHALL CONSIST OF THE CLERK'S RECORD STIPULATION OF FACTS WILL BE INCLUDED NTITLED; OR (2) THAT THE PARTIES HAVE L BE CERTIFIED BY THE JUVENILE/DISTRICT WILE PROCEDURE, AND §12-12-72, CODE OF
	Date	Print or Typ	
PART 2. DESIGNATION OF PROCEED the following proceedings	DINGS TO BE TRANSCRIBED. Requining the above referenced case (see R		orter(s) indicated below for a transcript of ellate Procedure (A R App P)): COURT REPORTER(S)
MARK PROCEEDINGS REQUEST	ΓED:		LINDA S. WILSON
proceedings, a transcript be designated separately			
recorded unless the trial	JURY - This designation will inclu- ote that in noncapital cases the vo- judge so directs (See Rule 19 4, AR	(CrP.)	
not be recorded unless tr	SEL - Note that in noncapital cases he trial judge so directs (See Rule 1	THE WALL OF THE PARTY AND	ADE TO INCLUDE THE FOLLOWING
IN ADDITION TO ANY PROCE	EDINGS DESIGNATED ABOVE, S ER'S TRANSCRIPT PORTION OF THE	RECORD ON APPEAL. (ATTACH ADI	ADE TO INCLUDE THE FOLLOWING DITIONAL PAGES IF NECESSARY):
	EEDINGS REQUESTED	DATE	COURT REPORTER(S)
i			
D			
E			
		-	
F			
G			
IMPORTANT NOTICE: The court effective Additionally, it is imported the court that are not specifically	designated on this form for inclusion	on in the reporter's transcript. A ge	uested must be identified on this form to be isue on appeal relating to any proceedings in neral designation such as "all proceedings" is
I not sufficient (See Rule 10(C)(E)			
PART 3. MUST BE SIGNED IF THE	APPEAL WILL HAVE A COURT REPO DISTRIBUTED THIS FORM AS SET O FACH COURT REPORTER LISTED A	BOVE FOR PREPARING HIS OR HE EEDED AT TRIAL AS AN INDIGEN PERMISSION TO PROCEED ON APPE	HAT I HAVE MADE SATISFACTORY FINANCIAL R PORTION OF THE REPORTER'S TRANSCRIP I AND THAT THAT STATUS HAS NOT BEEN ALIN FORMA PAUPER'S OY Type Name

OISTRIBUTION: Original filed with Clerk of Trial Court and copies mailed to: (1) Clerk of the Court of Criminal Appeals. (2) the District Attorney, (3) the Attorney General or the municipal prosecutor in lieu of the District Attorney and the Attorney General if the appeal is from a municipal conviction, and (4) to each Court Reporter who reported proceedings designated for inclusion in the reporter's transcript.

In The Circuit Court Of

State Of Alabama

RUSSELL CIL ALLI LIKODA VISSE COURT LICED IN OFFICE

Petitioner

۷s.

State Of Alabama,

Respondents

Case No. (-0) - 36(x)

Motion For Appointment Of Counsel:

The petitioner in the above styled action hereby moves this Honorable Court for an order entered directed that counsel be appointed to assist petitioner in this matter. This motion is supported by the following facts.

Facts In Support:

The petitioner is an inmate of the Alabama Department of Corrections with neither the means or money to retain counsel to assist him in this matter.

The petitioner is lack in legal knowledge and do not know how to proper prepare this matter for Court proceedings.

The issues involved in this matter are complex and require research and investigation that the petitioner is unable to do, because of being in prison.

As a matter of law petitioner is entitled to appointment of counsel to assist him in this matter.

The respondents will not be prejudice by the appointment of counsel in this matter.

Therefore For Good Cause:

Petitioner request that this Court appoint counsel to assist him in this matter.

Petitioner also request such other relief that this Court deems just in this matter.

Dated this Today of Octo Ber 200 ROH

Certificate Of Service:

I hereby certify that I have mailed a true and correct copy of the above to all parties by placing the same in the United States mail all postage paid.

Dated this 22 day of Ootober 2000 RDH

Case Number AFFIDAVIT of SUBSTANTIAL State of Alabama Unified Judicial System 201-30,36 HARDSHIP and ORDER Form C-10 Rev 6/88 COUNTY A COURT OF v. Defendant Plaintiff/State IN THE MATTER OF: CHARGE: \$13A-12-211 ef 25 TYPE OF PROCEEDING: CIVIL CASE-I, because of substantial hardship, am unable to pay the docket fee and service feed in this case. I request that payment of these fees be waived initially and taxed as costs at the conclusion of the case. CIVIL CASE (such as paternity, support, termination of parental rights) - I request an attorney be appointed for me. CRIMINAL CASE-I am financially unable to hire an attorney and request that the Court appoint one for me. **AFFIDAVIT** A. Do you have a job or work for yourself? I Employer's name and address __ N C How much money do you take home each week? 0 M B. If unemployed, give month and year of last E employment and amount earned per month 1 E C. Does your husband or wife have a job? M P Employer's name and address _ 7 How much money does he/she take home each week? Y D. Do you receive money or benefits from any other source? M (Example: retirement pay, social security, workmen's compensation, unemployment E compensation, food stamps, rent payments, interest, dividends, etc.) N How much do you receive each month? T A. Do you have any money in any bank, savings and loan, credit union, or any other place, including cash on hand? A _ How much? Where? ___ S B. Do you own anything else of value? (Land, house, boat, television, stereo, jewelry, car, S E truck, van, stocks, bonds, etc.) T S What? _ Total Value + D Married Single A. Are you: Ε Separated? P B. Do you have any dependents? Ε N Who and what relationship? D Ε

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			50/01/80
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	Creditor	Total Debt	Monthly Payment
			<u>UA</u>
	Loans Charge Accounts		VA
1 1 -	House or rent payments		NA
'	Alimony		1/A
•	Support		NA NA
- 1	Car payment		NA
- 1	Groceries		Minds
ł	Utilities	~ 200 / 200	NA
	other	5000 / 7000	707
			44-4
	In support of this request, I have answered the above	e questions relating to my a	bility to pay. I swear that
			laise statement of allevo.
	to any questions in this amidavit will subject me to per-		المرجود المراجو المراجو
		ourt appoints an attorney to	represent me, the Court
	I further understand and acknowledge that it the or may require me to pay the fees and expenses of my co	urt-appointed-counsel.	rd e
		10	- mananu i i i i
	Sworn to and subscribed before me this		1/
	27 day of OCT # 200,	1 Kuy Harry	16-auch
	day of	Afflant Signature	the Jarill-Kon
	Modern	7011	-# 2175 UV
	- Ja/Notary	/1	130011877
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		IDER	
	IT IS ORDERED THAT THE	FOREGOING REQUEST BE	<u>.</u>
	\[\times_1 \]	DENIE	iD
	GRANTED		
	TO ATTORNEY		
	APPOINTMENT OF ATTORNEY:	aho	rles Flord
	IT IS THEREFORE, ORDERED AND ADJUDGED BY	Y THE COURT THAT	110
	To	Attorney at Law, be and is	hereby appointed as counsel
	to represent, assist and defend in this (these) case(s).	, attemet at a many a	
	to represent, assist and describe in the		attorney's fees and expenses.
	It is further ordered that the Court reserves the right and	d may order reimoursement of	
	It is further ordered that the Godt resourced approved by the Court and paid to the appointed couns	· ·	•
١	2011	Ontohoo	me0/
i	DONE this day of .	NO ODEK	JOUL
	DOME and	1 h	
		_/d-65	
		Judge	

Case 3:05-cv-00936-MEF-CSC Document 9-3	Filed 11/07/2005 Page 8 of 41
Case 3:05-cv-00936-MEF-CSC Document 9-3 ALABAMA JUDICIAL DAT ALABAMA JUDICIAL DAT CR371 NOTICE OF ALABAMA COU BY THE TRIAL COURT	RT G CRIMINAL APPEALS UUULUU
IN THE CIRCUIT COURT OF RESTAUR OF RESTAUR OF ALABAMA VS HEATH ROY DAVID	USSELL COUNTY JUDGE: GEORGE R. GREENE
TATE OF ALABAMA VS HEATH ROT BRITALING	
	į.
INDIGENCY STATUS; GRANTED INDIGENCY STATUS AT TRIAL COURT: GRANTED INDIGENCY STATUS AT TRIAL COURT: APP. TRIAL COUNSEL PERMITTED TO W/D ON A INDIGENT STATUS REVOKED ON APPEAL: INDIGENT STATUS GRANTED ON APPEAL:	YES -X- NO
DEATH PENALTY: NO	
Mr Lnc	TITON AT E PINE 32 PETITION. I
THIS APPEAL IS FROM AN ORDER DENYING A PETER WRIT OF HABEAS CORPUS, ETC) OR FROM ANY O	THER ISSUED BY THE TRIAL JUDGE.
CD/CASE NUMBER: 57/CC 2001 000036.00	DIEMISSED DENIED GRANTED !
ORDER ENTERED (DATE): 04092001 PETITION:	
THIS IS AN APPEAL FROM A CUNVICTION.	DATE OF SENTENCE: 05/31/2001
I DATE OF CONVICTION: 0470772001	DATE OF SENTENSE
YOUTHFUL OFFENDER STATUS: DENIED	
CO/CASE NUMBER: 57/CC 2001 000036.00 CODE: UDCS CONVICTION: UNLAW DISTRIB CO	ACTION: GUILLY PLEM
CODE: VAPF CONVICTION: POSS MARIJUANA 1	STATUTE: 13A-012-213
SENTENCE: CONF: 30 YRS 00 MOS 000 DAYS SENTENCE: PROB: 00 YRS 00 MOS 000 DAYS	LIFE: NO LIFEWO: NO DT DENIED CON BY AGREE
POST-JUDGMENT MOTIONS FILED: DT FILED MOTION FOR NEW TRIAL MOTION FOR JUDG. OF ACQUIT MOTION TO W/D GUILTY PLEA MOTION FOR ATTY TO W/DRAW OTHER	
COURT REPORTER(S):	WILSON, LINDA S. C/O HON. GEORGE R. GREENE PHENIX CITY , AL 36867
APPELLATE COUNSEL #1: ADDRESS:	FLOYD CHARLES EDDIE III P. O. BOX 759
PHONE NUMBER:	PHENIX CITY , AL 36868 205-297-3378
APPELLATE COUNSEL #2:	
PHONE NUMBER:	HEATH ROY DAVID
APPELLANT (PRO SE); ADDRESS;	TRAPPER DRIVE PHENIX CITY , AL 368670000
AIS #:	
APPELLEE (IF CITY APPEAL): ADDRESS:	
I THE THE THE PROPERTY OF THE	OPERATOR; SHO
I CERTIFY THAT THE INFORMATION PROVIDED AROUE IS ACCURATE TO THE BEST OF MY	PREPARED: 10/30/200

ABOVE IS ACCURATE TO SERVED A COPY OF KNOWLEDGE AND I HAVE SERVED A COPY OF THIS NOTICE OF APPEAL ON ALL PARTIES TO THIS ACTION ON THIS SOLLDAY OF THE THIRD THE

-KETREOUT COURT CLERK

MOTION TO COORDINATE APPEALS FOR EXTENSION OF TIME TO FILE TRANSCRIPT TO: The Clerk of the Court of Criminal Appeals P. O. Box 301555 Montgomery, Alabama 36130-1555 Criminal Appeals Case Number CR OD - 3/43 Criminal Appeals Case Number CR OD - 3/43 Appellant's Name Trial Court Case No. CCOI-30 Notice of Appeal Date 7/11/01 On appeal from the: Circuit Court of District Court of Juvenile Court of) 242-4689 bama
The Clerk of the Court of Criminal Appeals P. O. Box 301555 Montgomery, Alabama 36130-1555 Criminal Appeals Case Number Ray David Heath V. State of Appellee Appellee Trial Court Case No. CC01-30 Notice of Appeal Date 7/11/01 On appeal from the: Circuit Court of District Court of Juvenile Court of Juvenile Court of Indicate the transcript in said thereby request a 28- day extension to complete the transcript in said I have set out below. Currently this transcript is due on 103101. The Clerk of Criminal Appeals On 301555 Appellee CR OO - 3/43 Appellee Criminal Appeals On 3/43 Appellee Criminal Appeals On 3/43 Appellee County On appeal from the: Circuit Court of Juvenile	bama.
hereby request a 28- day extension to complete the transcript in said that set out below. Currently this transcript is due on 103101. The transcript will be due on 112801.	
REASONS:	Cause for the reasons
	FILED AN OFFICE OF THE PRICE OF
Mida Wilson 10/30/01	7 00

Note: Rule 11(c) of the Alabama Rules of Appellate Procedure prohibits an appellate court from granting an extension if the request is not received by the clerk of the appellate court within the time originally prescribed or before the expiration of an extension previously granted. Based on internal original Appeals, no more than two 28-day extensions will be granted.

COURT OF CRIMINAL APPEALS
STATE OF ALABAMA
JUDICIAL BUILDING, 300 DEXTER AVENUE
P.O. BOX 301555
MONTGOMERY, AL 36130-1555

000105

H. W. "Bucky" McMILLAN

Presiding Judge
SUE BELL COBB
PAMELA W. BASCHAB
GREG SHAW
A. KELLI WISE
Judges

October 30th, 2001

Lane W. Mann Clerk Wanda K. Ivey Assistant Clerk (334) 242-4590 FAX (334) 242-4689

RE: CR-00-2143

Roy David Heath v. State of Alabama (Appeal from Russell Circuit Court: CC01-30; CC01-36).

You are hereby notified that the following action was taken in the above cause by the Court of Criminal Appeals:

Additional time is granted to certify the completion of reporter's transcript to and including 11/28/2001.

Lane W. Mann, Clerk Court of Criminal Appeals

LWM/sm

cc: Honorable George R. Greene, Circuit Judge Honorable Kathy S. Coulter, Circuit Clerk Linda S. Wilson, Court Reporter Roy David Heath, Pro Se, Appellant

Page 11 of 41 06

State of Alabama **Unified Judicial System** Form ARAP- 14

11/91

CERTIFICATE OF COMPLETION AND TRANSMITTAL OF RECORD ON **APPEAL BY TRIAL CLERK**

Appellate Case Number CR 00-2143

·				
TO: THE CLERK OF THE COURT OF CRIMINAL APPEALS OF ALABAMA	DATE OF NOTICE OF APPEAL: JULY 11, 01			
APPELLANT				
DOM DA	TATE AND			
	VID HEATH			
V. STATE OF ALABAMA				
	to the second or owned			
I certify that I have this date completed and transmit	ted herewith to the appellate court the record on appeal			
by assembling in (a single volume of 106 pages) (volumes of 200 pages each and one volume of			
pages) the clerk's record and the reporter's tran	script and that one copy each of the record on appeal has			
been served on the defendant and the Attorney General o	f the State of Alabama for the preparation of briefs.			
	. If I was to start a surred			
. I certify that a copy of this certificate has this date bee	n served on counsel for each party to the appeal.			
Dated this 30TH day of NOVEMBER	,19 2001.			
<u> </u>				
Lather Courter /SX				
Circuit Clerk				
RUSSELL COUNTY. ALABAMA				
ALL STATES	3).11.1111 .XXXIIIVI 1 ; (XIIXXIIXXIIX			

Case 3:05-cv-00936-MEF-CSC Document 9-3 Filed 11/07/2005 Page 12 of 41 State of Alabama Criminal Appeal Number REPORTER'S TRANSCRIPT ORDER -- CRIMINAL **Unified Judicial System** See Rules 10(c) and 11(b) of the CK - 00-2143 Form ARAP-1C 8/91 Alabama Rules of Appellate Procedure (A.R. App.P.) BE COMPLETED BY COUNSEL FOR THE APPELLANT OR BY THE APPELLANT IF NOT REPRESENTED AND FILED WITH THE WRITTEN NOTICE OF APPEAL OR FILED WITHIN 7 DAYS AFTER ORAL NOTICE OF APPEAL IS GIVEN. CIRCUIT COURY DISTRICT COURT JUVENILE COURT OF ______ CUSE! Roy David Heath _____, Appellant STATE OF ALABAMA MUNICIPALITY OF Case Number Date of Judgment/Senjence/Order 2001 000030.00 Date of Notice of Appeal Indigent Status Granted: No Written: PART 1. TO BE SIGNED IF THE APPEAL WILL NOT HAVE A COURT REPORTER'S TRANSCRIPT: I CERTIFY THAT NO REPORTER'S TRANSCRIPT IS EXPECTED AND THAT THE RECORD ON APPEAL SHALL CONSIST OF THE CLERK'S RECORD ONLY IF THE APPEAL IS FROM DISTRICT COURT OR JUVENILE COURT, I ALSO CERTIFY (1) THAT A STIPULATION OF FACTS WILL BE INCLUDED IN THE CLERK'S RECORD AND THAT THE APPELLANT WAIVES HIS RIGHT TO A JURY TRIAL IF SO ENTITLED; OR (2) THAT THE PARTIES HAVE STIPULATED THAT ONLY QUESTIONS OF LAW ARE INVOLVED AND THAT THE QUESTIONS WILL BE CERTIFIED BY THE JUVENILE/DISTRICT COURT FOR INCLUSION IN THE CLERK'S RECORD (SEE RULE 28(A)(1), ALABAMA RULES OF JUVENILE PROCEDURE, AND §12-12-72, CODE OF ALABAMA 1975) Signature Date Print or Type Name PART 2. DESIGNATION OF PROCEEDINGS TO BE TRANSCRIBED. Request is hereby made to the court reporter(s) indicated below for a transcript of the following proceedings in the above referenced case (see Rule 10(c)(2), Alabama Rules of Appellate Procedure (A R App P)): MARK PROCEEDINGS REQUESTED: TRIAL PROCEEDINGS - Although this designation will include the judgment and sentence proceedings, a transcript of the organization of the jury and arguments of counsel must be designated separately ORGANIZATION OF THE JURY - This designation will include voir dire examination and challenges for cause. Note that in noncapital cases the voir dire of the jury will not be recorded unless the trial judge so directs (See Rule 19 4, ARCrP.) C. MARGUMENTS OF COUNSEL - Note that in noncapital cases the arguments of counsel will not be recorded unless the trial judge so directs. (See Rule 19.4, ARCrP.) IN ADDITION TO ANY PROCEEDINGS DESIGNATED ABOVE, SPECIAL REQUEST IS HEREBY MADE TO INCLUDE THE FOLLOWING PROCEEDINGS IN THE REPORTER'S TRANSCRIPT PORTION OF THE RECORD ON APPEAL. (ATTACH ADDITIONAL PAGES IF NECESSARY): ADDITIONAL PROCEEDINGS REQUESTED DATE COURT REPORTER(S)

IMPORTANT NOTICE: The court reporter who reported the proceedings for which a transcript is requested must be identified on this form to be effective. Additionally, it is important to note that the appellant may not be permitted to raise any issue on appeal relating to any proceedings in the case that are not specifically designated on this form for inclusion in the reporter's transcript. A general designation such as "all proceedings" is not sufficient. (See Rule 10(c)(2), A R App P)

PART 3. MUST BE SIGNED IF THE APPEAL WILL HAVE A COURT REPORTER"S TRANSCRIPT:

I CERTIFY THAT I HAVE DISTRIBUTED THIS FORM AS SET OUT BELOW. I ALSO CERTIFY (1) THAT I HAVE MADE SATISFACTORY FINANCIAL ARRANGEMENTS WITH EACH COURT REPORTER LISTED ABOVE FOR PREPARING HIS OR HER PORTION OF THE REPORTER'S TRANSCRIPT HEREIN REQUESTED; OR (2) THAT THE APPELLANT PROCEEDED AT TRIAL AS AN INDIGENT AND THAT THAT STATUS HAS NOT BEEN REVOKED; OR, (3) THAT THE APPELLANT HAS BEEN GIVEN PERMISSION TO PROCEED ON APPEAL IN FORMA PAUPERIS

Signature Roy David Heath

DISTRIBUTION: Original filed with Clerk of Trial Court and copies mailed to: (1) Clerk of the Court of Criminal Appeals. (2) the District Attorney, (3) the Attorney General or the municipal prosecutor in lieu of the District Attorney and the Attorney General if the appeal is from a municipal conviction, and (4) to each Court Reporter who reported proceedings designated for inclusion in the reporter's transcript

STATE OF ALABAMA

IN THE CIRCUIT COURT FOR THE COUNTY OF RUSSELL

TWENTY-SIXTH JUDICIAL CIRCUIT

CRIMINAL

STATE OF ALABAMA

v.

Case No. CC 01-30 CC 01-36

ROY DAVID HEATH,

Defendant.

REPORTER'S OFFICIAL TRANSCRIPT

Before:

Honorable George R. Greene Phenix City, Alabama - April 9, 2001

APPEARANCES:

For the State:

Buster Landreau, Esq.

Chief Deputy District Attorney

For the Defendant:
John M. Britton, Esq.
Phenix City, Alabama

Linda S. Wilson Official Court Reporter

THE COURT: This will apply to each defendant in each case. Under the Constitution of the United States and the Constitution and laws of the State of Alabama, you have a right to remain silent and you may not be compelled to give evidence against yourself. Your attorney cannot disclose any confidential talks he or she has had with you. You are not required to answer any questions. If you do answer questions knowing that have you a right to remain silent, you will have waived this right.

You have the right to enter and continue to assert a plea of not guilty or not guilty by reason of mental disease or defect and have a public trial before a duly selected jury. The jury would decide your guilt or innocence based upon the evidence presented before them. If you elect to proceed to trial, you would have the right to be present, you would have the right to have your attorney present to assist you, you would have the right to confront and cross-examine your accuser or accusers and all the State's witnesses, you would have the right to subpoena witnesses to testify on your behalf and to have their attendance in court and their

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have the right to take the witness stand and to testify, but only if you chose to do so, as no one can require you to do this. If you elect to testify, you can be cross-examined by the State just as any other witness is subjected to cross-examination. If you decide not to testify, no one but your attorney will be allowed to comment about that fact to the jury. Your attorney is bound to do everything he or she can reasonably and honorably do to see that you obtain a fair and impartial trial.

If you elect to proceed to trial, you come to court presumed to be innocent. The presumption of innocence will follow you throughout the trial until the State produces sufficient evidence to convince the jury or the Court, if the trial should be a non-jury trial, of your guilt beyond a reasonable doubt. You have no burden of proof in any of these cases. If the State fails to meet its burden, you would be found not guilty.

If any of you are entering a plea of guilty to a charge for which you have not yet been indicted, you are waiving indictment by a grand

jury and will be pleading guilty to a charge preferred against you by the District Attorney's office which is an information which will be filed with the Court.

If you plead guilty in any of these cases, there will be no trial, you'd be waiving the rights outlined above, except your rights relating to representation by an attorney, the State will have nothing to prove and you will be convicted and sentenced based upon your guilty plea. You will, however, still have the right of appeal.

If any of you are convicted of an offense involving which would be alcohol or drug related, you will be required to undergo an evaluation for substance abuse. Based upon the results of any such evaluation, you will be required to complete the recommended course of education and/or treatment and to pay for the evaluation and any cost of program to which you're referred. Failure to submit to an evaluation or failure to complete any program to which you may be referred will be considered a violation of any probation or parole you may be granted. You may also be required to attend monitoring sessions, including

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random drug and alcohol testing or blood, urine and/or breath test and to pay a fee for this service. You may request a waiver of part or all of the fees assessed if you're indigent or for any portion of time you're financially unable to pay. Community service may be ordered by the Court in lieu of the monetary payment of fees.

Under the Drug Demand Reduction Assessment Act and loss of driving privilege under that Act, Section 13A-12-281 provides that if you're convicted of a violation of Section 13A-12-202, Section 13A-12-203, 204, 213, 215 of possession of a controlled substance by a person over the age of 18, or Section 13A-12-223, you should be assessed an additional fee of \$1,000.00 if you're a first-time offender or \$2,000.00 if you're a repeat offender under one of these sections. Collection of all or part of the penalty will be suspended if, with Court approval, you enter a drug rehabilitation program and if you agree to pay for a part or all the program costs. successful completion of the program, you may apply to the Court to reduce the penalty by the amount actually paid by you for participation in Any suspension of the penalty may the program.

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be withdrawn by the Court if you fail to enroll in or successfully pursue or otherwise fail to complete an approved program.

In addition, pursuant to Section 13A-12-214, you will lose your privilege to drive a motor vehicle for a period of six months which shall be in addition to any suspension or revocation otherwise provided by law.

Mr. Heath also has two THE COURT: indictments which have been returned to him, and the indictments in Case Number CC 2001-36 is a two-count indictment. In Case Number CC 2001-30, the Defendant, Roy D. Heath, is charged with the unlawful distribution of marijuana, a controlled substance. The indictment alleges that he did unlawfully sell, furnish, give away, manufacture, deliver or distribute marijuana, a controlled substance, in violation of Section 13A-12-211 of the Code of Alabama of 1975, as amended. charge of unlawful distribution of a controlled substance is a Class B felony with a possible sentencing range for a first time offender being not less than two, no more than 20 years imprisonment in the state penitentiary, and a fine of up to but not more than \$10,000.00 could

be imposed.

In Case Number CC 2001-36, in Count 1 of the indictment, the Defendant is charged with the offense of unlawful distribution of marijuana, a controlled substance, and that indictment alleges that he did unlawfully sell, furnish, give away, manufacture, deliver or distribute marijuana, a controlled substance, in violation of Section 13A-12-211 of the Alabama Code. It also is a Class B felony.

D. Heath did possess marijuana, a controlled substance, for other than his personal use, in violation of Section 13A-12-213 of the Code of Alabama of 1975, and Count 2 of the indictment is a Class C felony with a possible sentencing range for a first time offender being not less than one year and one day, no more than 10 years imprisonment in the state penitentiary, and may include a fine of up to but not more than \$5,000.00.

It, however, is stipulated that the

Defendant has three prior felony convictions, and
he would fall under Alabama's Habitual Offender

Law with a possible sentencing range for a Class

years or mandatory life imprisonment or a fine of up to \$20,000.00, and the punishment for a Class C felony as an habitual offender would be not less than 15, no more than 99 years or life in the state penitentiary, and a fine of up to \$20,000.00 could be imposed as well as a Victims Compensation Fund fee of not less than 50, no more than \$10,000.00, could be imposed.

would apply in these cases. Under Section

13A-12-250 of the Code of Alabama, that section

provides that any person who is convicted of

unlawfully selling any controlled substance

within a three-mile radius of a public or private

school, college, university or other educational

institution must be punished by an additional

penalty of five years imprisonment in a state

correctional facility for each violation. This

period of imprisonment is mandatory and the

punishment imposed shall not be suspended or

probation granted.

Section 13A-12-270 of the Alabama Code provides that any person who is convicted of unlawfully selling any controlled substance

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within a three-mile radius of a public housing project owned by a Housing Authority must be punished by an additional penalty of five years imprisonment in a state correctional facility for each violation. This period of imprisonment is mandatory and the punishment imposed shall not be suspended or probation granted.

The Drug Demand Reduction Assessment Act applies as well as the loss of driving privilege and the section I previously read out on alcohol or drug-related offenses applies.

What grade did you last complete in school, Mr. Heath?

THE DEFENDANT: Tenth.

THE COURT: Can you read, write and understand the English language?

THE DEFENDANT: Yes, sir.

THE COURT: Is there anything about this Explanation of Rights and Plea of Guilty form that I've read or explained to you or that Mr. Britton has read or explained to you that you don't understand?

THE DEFENDANT: No, sir.

THE COURT: Do you have any questions about this Explanation of Rights form?

THE DEFENDANT: No, sir.

THE COURT: Has anyone offered you any promise or inducement or hope of reward to entice you to enter into a plea of guilty to each of these three cases?

THE DEFENDANT: No, sir.

THE COURT: Has anybody threatened or coerced you in any way to force you to enter into a plea of guilty?

THE DEFENDANT: No, sir.

entry of plea of guilty on your part to both counts of distribution of marijuana as well as unlawful possession of marijuana in the first degree, a controlled substance? You're entering a plea of guilty voluntary to each of these three cases and that you're doing so knowingly and voluntarily?

THE DEFENDANT: Yes, sir.

THE COURT: The indictments that I read out to you, two of them charge you with unlawful distribution of a controlled substance, marijuana, and prior to your arrest -- what date does the State allege that the sale in Case Number CC 2001-30 took place?

MR. LANDREAU: April 28th, 2000. 1 THE COURT: Did you on or about April the 2 28th of the year 2000 sell marijuana to someone? 3 THE DEFENDANT: Yes, sir. 4 THE COURT: Where were you at the time? 5 THE DEFENDANT: At a rental property I had 6 at 915 13th Avenue. 7 THE COURT: And that's in Phenix City and 8 Russell County, Alabama? 9 THE DEFENDANT: Uh-huh (positive response.) 10 THE COURT: Did you have marijuana in your 11 possession at the time? 12 Yes, sir. THE DEFENDANT: 13 THE COURT: And did you sell marijuana to 14 someone else? 15 Yes, sir. THE DEFENDANT: 16 THE COURT: Who did you sell it to? 17 To an undercover officer. THE DEFENDANT: 18 THE COURT: How much did you sell? 19 THE DEFENDANT: A pound. 2.0 And for how much? THE COURT: 21 I don't THE DEFENDANT: I don't know. 22 directly know. 23 MR. LANDREAU: Judge, I believe Mr. Heath is 24 mistaken. The pound occurred on the second 25

sale. The first sale was 27.62 grams which is 1 roughly one ounce. 2 Right. There were two MR. BRITTON: 3 separate cases. 4 MR. LANDREAU: There were two different 5 sales. 6 THE COURT: Did you sell one ounce of 7 marijuana? 8 Right. THE DEFENDANT: 9 THE COURT: And how much did you receive for 10 that? 11 THE DEFENDANT: 120, I think. 12 THE COURT: About \$20.00? 13 \$120.00, Judge. MR. BRITTON: 14 120. THE DEFENDANT: 15 THE COURT: \$120.00. And you knew that you 16 did not have any right to sell the marijuana? 17 THE DEFENDANT: Yes, sir. 18 THE COURT: Are you guilty of the offense of 19 unlawful distribution of marijuana in Case Number 20 CC 2001-30? 21 THE DEFENDANT: Yes, sir. 22 THE COURT: To that charge, how do you 23 plead, guilty or not guilty? 24 Guilty. THE DEFENDANT: 25

THE COURT: When does the State allege the 1 offense occurred in Case Number 2001-36? 2 MR. LANDREAU: May 4th of 2000. The amount 3 involved would be one pound. 4 THE COURT: All right. Did you agree to 5 sell one pound of marijuana on or about May 4th 6 of the year 2000? 7 Yes, sir. THE DEFENDANT: 8 Where did that take place? THE COURT: 9 915 13th Avenue. THE DEFENDANT: 10 THE COURT: Same location in Russell County? 11 THE DEFENDANT: Yes, sir. 12 THE COURT: And who was it that you agreed 13 to sell marijuana to then? 14 Undercover. THE DEFENDANT: 15 THE COURT: And did you, in fact, sell that 16 marijuana? 17 THE DEFENDANT: Yes, sir. 18 THE COURT: And how much did you receive for 19 that one pound of marijuana? 20 THE DEFENDANT: I don't know. I never did 21 receive the money yet before they got me. 22 Well, did you negotiate a price? THE COURT: 23 THE DEFENDANT: A thousand, I think. 24 THE COURT: \$1,000.00 for the pound? 25

did you actually deliver the marijuana to someone?

THE DEFENDANT: It was delivered to them.

THE COURT: Are you guilty of the offense of unlawful distribution of marijuana, a controlled substance, in that case?

THE DEFENDANT: Yes, sir.

THE COURT: To that charge, how do you plead, guilty or not guilty?

THE DEFENDANT: Guilty.

THE COURT: Did you have other marijuana in your possession other than the pound that you tried to sell?

THE DEFENDANT: No, sir.

THE COURT: What does the State allege in Count 2 is the reason they're proceeding on the possession charge?

MR. LANDREAU: One moment, Your Honor.

Judge, this sale was set up at his home. It did involve an amount of one pound. The arrest was made at that time, and as I recall, there was some additional marijuana located in a gym bag which Mr. Heath indicated was his bag.

THE DEFENDANT: Yeah.

THE COURT: And how much was in that gym

baq? 1 I have no idea. THE DEFENDANT: 2 Was that yours? THE COURT: 3 THE DEFENDANT: Yes, sir. Did you know it was marijuana? THE COURT: 5 Yes, sir. THE DEFENDANT: 6 THE COURT: Was it in bags or was it rolled 7 How was it contained? in cigarettes? 8 It was in bags, I think. THE DEFENDANT: 9 THE COURT: And that's at your residence in 10 Russell County? 11 THE DEFENDANT: Yeah. It was in the car, I 12 think. 13 THE COURT: Are you guilty of the offense of 14 unlawful possession of marijuana in the first 15 degree in Count 2 of the indictment? 16 THE DEFENDANT: Yes, sir. 17 THE COURT: To that charge, how do you 18 plead, quilty or not guilty? 19 THE DEFENDANT: Guilty. 20 Is there anything about the THE COURT: 21 charge of distribution of a controlled substance 22 or possession of a controlled substance that you 23 don't understand? 24

THE DEFENDANT: No, sir.

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THE COURT: Any questions about the charges pending against you?

THE DEFENDANT: No, sir.

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THE COURT: The plea bargain agreement that I have before me indicates that upon your plea of guilty in each of these cases, the State would recommend that you be sentenced to 30 years in the custody of the Commissioner of the Department of Corrections, that you pay the court costs of each case, a \$1,000.00 penalty mandated by the Demand Reduction Assessment Act.

Do any of your previous convictions involve sale or use of drugs?

THE DEFENDANT: No, sir.

THE COURT: So the \$1,000.00 penalty would apply. You would be ordered to pay a \$100.00 Forensic Science Fund fee and a \$100.00 Victims Compensation Fund fee, and your driver's license would be suspended and that would apply in each of the three counts.

The 30 years shall consist of 20 years for violation of Section 13A-12-211, an additional five years mandated by Section 13A-12-250 for the sale of a controlled substance at or near a school campus, an additional five years mandated

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by Section 13A-12-270 for the sale of a controlled substance at or near a public housing project, and for purposes of this plea, the Defendant agrees to stipulate that the act occurred within three miles of both a school and a housing project and the State of Alabama would not have to make further proof of that. The same sentence would apply in Count 1 of Case Number CC 2001-36.

In Count 2 of the indictment, the State would recommend that the Defendant be sentenced to 30 years concurrent with the sentences imposed in the other cases, he pay the court costs, the \$1,000.00 penalty mandated by the Demand Reduction Assessment Act, a \$100.00 Forensic Science Fund fee and a \$100.00 Victims Compensation Fund fee, and his license would be revoked for a period of six months in that case as well. It's stipulated that the Defendant has three prior felony convictions and the State would not have to make any further proof of those convictions.

The Defendant would be ordered to make payments on his fines, court costs and restitution at the rate of at least \$100.00 per

month beginning 90 days after his release from confinement or on the placing of any work release program. Failure to make those payments as ordered could result in a 30 percent collection fee being added to any balance which is 90 days past due as well as a wage withholding order could be issued for that past due balance.

By entering into this plea bargain agreement, the Defendant agrees in each of these three counts of the two indictments not to file any post-conviction petition or remedy, including the filing of a Rule 32 petition, a motion to set aside his plea of guilty or any sentence that may be imposed, or an appeal of any conviction that may be imposed in each of these cases, and if he does so, then the cases could be restored to the trial docket.

One thing that I will point out to the Defendant that is not part of the plea bargain agreement but could be part of the sentencing it would impose, because these two sales took place on separate and distinct occasions, it could be that the sentences could run concurrent with each other or consecutive with each other, concurrent meaning the sentences could run at the same

time. Consecutive meaning you could serve one-third of your sentence and then after you finish that, you would serve another 30-year sentence which would, in effect, double the amount of time that you would be ordered to serve in jail. It's stipulated, however, by the agreement that all sentences would run concurrent with each other.

Is there anything about this plea bargain agreement that you don't understand, Mr. Heath?

THE DEFENDANT: No, sir.

THE COURT: Any questions about it?

THE DEFENDANT: No, sir.

THE COURT: Are you satisfied with the legal representation you've received from Mr. Britton in these cases?

THE DEFENDANT: Yes, sir.

THE COURT: And are you satisfied with the plea bargain agreement that's been negotiated on your behalf?

THE DEFENDANT: Yes, sir.

THE COURT: And you understand that the 30 years is the minimum sentence that you could receive in each of -- in two of these cases?

THE DEFENDANT: Yes, sir.

THE COURT: And then the minimum sentence on
the possession case would have been 15 to 99?

MR. BRITTON: Yes, sir.

THE COURT: Court then would accept your

THE COURT: Court then would accept your plea of guilty and would adjudicate you guilty of the two counts of unlawful distribution of marijuana, a controlled substance, and also of unlawful possession of marijuana in the first degree.

Do you wish to have a pre-sentence report completed in this matter?

MR. BRITTON: Yes, we do, Your Honor. Yes, sir, Your Honor.

THE COURT: I would direct that a pre-sentence report be completed in this matter, and the cases would be set for sentencing on May 31st and that will be at 9:30 a.m.

MR. BRITTON: Thank you very much, Judge.

THE COURT: He'll need to meet with the probation officer before he leaves.

MR. BRITTON: Yes, sir.

(End of proceedings.)

STATE OF ALABAMA

IN THE CIRCUIT COURT FOR THE COUNTY OF RUSSELL TWENTY-SIXTH JUDICIAL CIRCUIT

CRIMINAL

STATE OF ALABAMA

v.

Case No. CC 01-30 CC 01-36

ROY DAVID HEATH,

Defendant.

SENTENCING

Before:

Honorable George R. Greene Phenix City, Alabama - May 31, 2001

APPEARANCES:

For the State:

Buster Landreau, Esq.

Chief Deputy District Attorney

For the Defendant:
John M. Britton, Esq.
Phenix City, Alabama

Linda S. Wilson Official Court Reporter THE COURT: Roy D. Heath?

(Defendant approaches the bench.)

THE COURT: This matter was set for sentencing. Does Mr. Heath have anything to present in either of the cases, CC 2001-30, 36, Count 1 or Count 2?

MR. BRITTON: I believe Mr. Heath wants to say something to the Court.

THE DEFENDANT: Sir, I was going to ask you, could you give me a little more time before you put me in jail because my mom, she's hurt real bad, and I ain't been able to tell her I'm going to jail. They've got her in CCU in Birmingham, and I can't tell her that I'm going away for a while. She won't be able to see me because she can't get around after she gets out, if she gets out, and I need a little more time with her.

THE COURT: Well, I'm going to decline to continue this any further. Is there anything else you want to say?

THE DEFENDANT: Decline, what do you mean?

MR. BRITTON: Is there anything else you

want to say?

THE DEFENDANT: Yes, sir. I need to stay out and see my mom so I can tell her.

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Well, people stay out of jail THE COURT: don't need to be selling drugs.

> THE DEFENDANT: Yes, sir.

That was the priority and that's THE COURT: what you should have thought of before you were out selling drugs.

Yes, sir. But I need to --THE DEFENDANT: I need a little more time so I can be with her. They've got her on life support.

THE COURT: What about no did you not understand?

THE DEFENDANT: I understand, sir. I don't wish to withdraw my plea in order to stay out, but if that will help me stay out, I got to do that, too. I don't want to, but --

THE COURT: All right. Court at this time in Case Number CC 2001-30, having previously adjudicated the Defendant guilty of the offense of unlawful distribution of marijuana, a controlled substance, would sentence the Defendant to 30 years in the custody of the Commissioner of the Department of Corrections. The 30-year sentence would include a five-year enhancement pursuant to Section 13A-12-270 of the Alabama Code, an additional five years

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enhancement pursuant to Section 13A-12-250 of the Alabama Code. That sentence would run concurrent with any sentences imposed in Case Number CC 2001-36, Counts 1 and 2.

Defendant would be ordered to pay the court costs of this case, would be assessed a \$100.00 Victims Compensation Fund fee, and would be assessed a \$1,000.00 penalty mandated by the Demand Reduction Assessment Act. He'll be ordered to pay the \$100.00 Forensic Services Trust Fund fee.

As a part of this sentence, he'll be required to undergo treatment in a substance abuse program while in the custody of the Commissioner of the Department of Corrections. His driver's license would be suspended for a period of six months. And in all three of these cases, he's to reimburse the State of Alabama the cost of appointed counsel, if any. And as a condition of parole, participation in an early release, S.I.R. or work release program, he's to pay all court-ordered monies.

In Case Number CC 2001-36, the Court having previously adjudicated the Defendant quilty of the offense of unlawful distribution of

marijuana, would sentence the Defendant to 30 years in the custody of the Commissioner of the Department of Corrections. That sentence would include five years enhancement pursuant to Section 13A-12-270, an additional five years enhancement pursuant to Section 13A-12-250, and again, the sentences would run concurrent with any sentence imposed in Case Number CC 2001-30 and 2001-36, Count 2. The Defendant will be given credit for any time served in jail.

He's ordered to pay the court costs of this case, a \$100.00 Victims Compensation Fund fee, and would be assessed a \$1,000.00 penalty mandated by Section 13A-12-280 of the Demand Reduction Assessment Act. He would be ordered to pay the \$100.00 Forensic Services Trust Fund fee, and shall undergo a substance abuse program and treatment while in the custody of the Commissioner of the Department of Corrections.

In Case Number CC 2001-36, Count 2, the
Defendant having previously been convicted of the
offense of unlawful possession of marijuana in
the first degree, Court would sentence him to 30
years in the custody of the Commissioner of the
Department of Corrections. That sentence would

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run concurrent with the sentences previously imposed in Cases Number CC 2001-30 and 2001-36, Count 1. Again, he'll be given credit for time served against all three cases.

He's ordered to pay the court costs of this case, a \$100.00 Victims Compensation Fund fee, and would be assessed the \$1,000.00 penalty mandated by the Demand Reduction Assessment Act. And as a condition of a violation of the section in this case, he would be ordered to pay the \$100.00 Forensic Services Trust Fund fee. be required to complete a substance abuse program, and his driver's license would be suspended for a period of six months.

In all three of these cases, the Defendant has the right to appeal his conviction and sentence, and if declared indigent, he has the right to appointed counsel and a court reporter's transcript would be provided without cost to Thank you. him.

Thank you, Judge. Did you MR. BRITTON: want to say something?

THE DEFENDANT: Yes, sir. Is there any way I can withdraw my plea, sir?

> You'll need to file something in THE COURT:

writing, but you understand that that would set aside your plea bargain agreement where you would no longer get a minimum sentence, be eligible for that?

THE DEFENDANT: Yes, sir.

THE COURT: Okay.

MR. BRITTON: Thank you, Judge.

(End of proceedings.)

CERTIFICATE OF COMPLETION OF REPORTER'S TRANSCRIPT

ROY DAVID HEATH,

Appellant

v.

STATE OF ALABAMA

TO: The Clerk of the Court of Criminal Appeals of Alabama

On Appeal From the Circuit Court of Russell County

CASE NOS. CC 01-30 & 36

DATE OF NOTICE OF APPEAL:
July 11, 2001

I certify that I have this date completed and filed with the clerk of the trial court the original of a true and correct transcript of the proceedings designated in the Reporter's Transcript Order. All pages are numbered serially, in the upper right corner of each page, prefaced by a copy of the Reporter's Transcript Order (Page No. 1) and an index, and ending with the number appearing in the upper right corner of this certificate.

I certify that a copy of this certificate is this date being served on counsel for defendant, the Attorney General of Alabama, and the District Attorney, along with a copy of the index.

DATED this 28th day of November, 2001.

Linda S. Wilson

Official Court Reporter